



Dept. Flag State Affairs

List of Certificates and Documents required on Board

Only certificates and documents required by international conventions and mandatory codes are listed. In addition Port and Flag States might have more extended requirements.

Hamburg, April 2012



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Certificate or Document	Reference	Restrictions	Application										
			Passenger Vessel	Ro-Ro Passenger Vessel	Oil Tanker	Chemical Tanker	Gas Carrier	Bulk Carrier	Container Vessel	General Cargo Vessel	Passenger High Speed Craft	Cargo High Speed Craft	
1 SOLAS 74													
1.1 Certificates													
Passenger Ship Safety Certificate	Reg. I / 12	Vessels carrying more than 12 Passengers	X	X	-	-	-	-	-	-	-	-	-
Cargo Ship Safety Construction Certificate	Reg. I / 12	Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	X	-	-
Cargo Ship Safety Equipment Certificate	Reg. I / 12	Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	X	-	-
Cargo Ship Safety Radio Certificate	Reg. I / 12	Cargo Vessels ≥ 300 GT	-	-	X	X	X	X	X	X	X	-	-
Cargo Ship Safety Certificate ¹	Protocol 88 Reg. I / 12	Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	X	-	-
Exemption Certificate	Reg. I / 12	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	-	-
Document of Compliance with the special Requirements for Ships carrying Dangerous Goods	Reg. II – 2 / 19.4 1994 HSC Code 7.1.2.5 2000 HSC Code 7.17.4	Vessels carrying Dangerous Goods	X	X	-	-	-	X	X	X	X	X	X
Minimum Safe Manning Certificate	Reg. V / 14.2	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X	X
Document of Authorization for the Carriage of Grain	Reg. VI / 9 Grain Code	Vessels carrying Grain in Bulk	-	-	-	-	-	X	-	X	-	-	-
International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk	Reg. VII / 10 IBC Code Sec. 1.5.4.1 IGC Code Sec. 1.5.4.3	Vessels carrying Dangerous Chemicals in Bulk, built on or after 1 July 1986	-	-	-	X	X	-	-	-	-	-	-

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			Passenger Vessel	Ro-Ro Passenger Vessel	Oil Tanker	Chemical Tanker	Gas Carrier	Bulk Carrier	Container Vessel	General Cargo Vessel	Passenger High Speed Craft	Cargo High Speed Craft	
1.2 Plans and Documents													
Coating Technical File	Reg. II-1/3-2	Cargo Vessels ≥ 500 GT	- refer to GL Rules, VI-10-1-1 Coating of Ballast Water Tanks and IMO Resolution MSC.215(82)-										
Emergency towing procedures	Reg. II - 1/3-4.2	Cargo Vessels ≥ 500 GT constructed on or after 1 January 2010; Cargo Vessels constructed before 1 January 2010, not later than 1 January 2012 Passenger Vessels, not later than 1 January 2010	X	X	X	X	X	X	X	X	X	-	-
For more information refer to GL Rules Pilot, Entry NO.	ID 3466 and ID 3503	http://www.gl-group.com/en/gltools/5832.php											
Ship Structure Access Manual *	Reg. II - 1/3-6.4	Oil tankers ≥ 500 GT constructed on or after 1 July 2004 Bulk Carriers ≥ 20,000 GT, constructed on or after 1 January 2005	-	-	X	-	-	X	-	-	-	-	
As-built construction drawings	Reg. II - 1/3-7 MSC/Circ.1135	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X	X	X	X	X	X	-	-	
Ship Construction File (Future Application; refer to GL Rules Pilot ID 4352)	Reg. II - 1/3-10 MSC.1/Circ.1343	Oil Tanker, Bulk Carrier ≥ 150 m in length RP Entry NO. ID 4352			X			X					

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Deck Log-Book	Reg. II – 1 /15.6.5, 15.9.4, 15.10.2, 17.3.3.2, 25.2, 25.9.4 and III /19.5 1994 + 2000 HSC Code para. 18.5.7	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X	X
Intact Stability Booklet *	Reg. II – 1 /5, 22, 25-8 ILLC Protocol 88, Reg.10	Cargo Vessels ≥ 24 m in Length Passenger Vessels	X	X	X	X	X	X	X	X	X	X	X
Damage Control Plan	Reg. II – 1 / 19	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X ²	X ²	X ²	X	X	X	X	X	X
Damage Control Booklet	Reg. II – 1 / 19	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X ²	X ²	X ²	X	X	X			
Operating and Maintenance Manual	Reg. II – 1 / 23-2.4	Ro-Ro- Passenger Vessel	-	X	-	-	-	-	-	-	-	-	-
A/A _{max} Ratio Information	Reg. II – 1 / 8-1, 8-2	Existing Vessels if altered	-	X	-	-	-	-	-	-	-	-	-
Manoeuvring Information	Reg. II – 1 / 28	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	-	-
Maintenance Plan/Programme	Reg. II – 2 / 14.2.2	Vessels ≥ 500 GT	X	X	X	X	X	X	X	X	X	-	-
Maintenance Plan/Programme for low-location lighting	Reg. II – 2 / 14.3	Vessels carrying more than 36 Passengers	X	X	-	-	-	-	-	-	-	-	-
Maintenance Plan/Programme for inert gas systems, deck foam systems, fire safety arrangements in cargo pump-rooms, flammable gas detectors	Reg. II – 2 / 14.2.4	Tanker	-	-	X	X	-	-	-	-	-	-	-

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			Passenger Vessel	Ro-Ro Passenger Vessel	Oil Tanker	Chemical Tanker	Gas Carrier	Bulk Carrier	Container Vessel	General Cargo Vessel	Passenger High Speed Craft	Cargo High Speed Craft
Radio Record	Reg. IV / 17 1994 HSC Code 14.16 2000 HSC Code 14.17	Cargo Vessels ≥ 300 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
Plan for SAR Co-operation	Reg. V / 7.3	Passenger Vessels	X	X	-	-	-	-	-	-	X	-
Voyage Data Recorder System-certificate of compliance	Reg. V / 18.8 2000 HSC Code 13.16	Cargo Vessels ≥ 3000 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
Conformance test report (LRIT) ³	Reg. V/19-1.6 MSC.1/Circ.1307	Cargo Vessels ≥ 300 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
International Code of Signals, up-to-date copy IAMSAR Manual, Volume III	Reg. V / 21	Cargo Vessels ≥ 300 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
Deviation Curve for Magnetic Compass	Reg. V / 19.2.1.3 1994 + 2000 HSC Code 13.2.3	Cargo Vessels ≥ 150 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
Nautical Publications	Reg. V / 19 + 27	Cargo Vessels Passenger Vessels	X	X	X	X	X	X	X	X	X	X
List of Operational Limitations	Reg. V / 30 1994 + 2000 HSC Code 18.2.2	Vessels trading on fixed routes	X	X	-	-	-	-	-	-	X	X
Cargo Securing Manual *	Reg. VI / 5.6 Reg. VII/5	Vessels ≥ 500 GT	-	X	-	-	-	-	X	X	-	X
Material Safety Data Sheets	Reg. VI / 5-1	Ships carrying MARPOL Annex I cargoes and marine fuel oils			X							
Cargo-Handling Booklet	Reg. VI / 7.2	Vessels ≥ 500 GT carrying Bulk Cargoes	-	-	-	-	-	X	-	-	-	-

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Cargo Log-Book	Reg. VI / 7.8	Vessels \geq 500 GT carrying Bulk Cargoes	-	-	-	-	-	-	X	-	-	-	-
Dangerous Goods Manifest or Stowage Plan	Reg. VII / 5 MARPOL Annex III Reg. 4	Vessels carrying Dangerous Goods	X	X	-	-	-	-	X	X	X	X	X
Shipboard emergency plan *	Reg. VII / INF Code Reg. 10.1	Vessels carrying Packaged Irradiated Nuclear Fuel, Plutonium and High-Level Radioactive Wastes	X	X	-	-	-	-	X	X	X	X	X
Permit to Operate High-Speed Craft	Reg. X / 3 1994 + 2000 HSC Code 1.9	High Speed Craft	-	-	-	-	-	-	-	-	X	X	X
High-Speed Craft Operating Manual	Reg. X / 3 1994 + 2000 HSC Code 18.2.1	High Speed Craft	-	-	-	-	-	-	-	-	X	X	X
Route Operational Manual	Reg. X / 3 1994 + 2000 HSC Code 18.2.2	High Speed Craft	-	-	-	-	-	-	-	-	X	X	X
Training Manual	Reg. X / 3 1994 + 2000 HSC Code 18.2.3	High Speed Craft	-	-	-	-	-	-	-	-	X	X	X
Maintenance and Servicing Manual	Reg. X / 3 1994 + 2000 HSC Code 18.2.4	High Speed Craft	-	-	-	-	-	-	-	-	X	X	X
Type Rating Certificate	Reg. X / 3 1994 + 2000 HSC Code 18.3.3	High Speed Craft	-	-	-	-	-	-	-	-	X	X	X

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Continuous Synopsis Record (CSR)	Reg. XI -1/5	Passenger Ships, MODU's	X	X	-	-	-	-	-	-	X	-
		Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	-	X
Ship Security Plan (SSP) *	ISPS Code A/9	Passenger Ships, MODU's	X	X	-	-	-	-	-	-	X	-
		Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	-	X
Enhanced Survey Report File	Reg. XI-1 / 2 Res. A.744(18)	Bulk Carriers acc. to IX/1.6 and Oil Tankers acc. to II-1/2.12	-	-	X	-	-	X	-	-	-	-
Copy of IBC Code	Reg. VII/8 IBC Code Reg. 16.2.1 IGC Code Sec. 1.5.4.3	Vessels carrying Dangerous Chemicals in Bulk, built on or after 1 July 1986	-	-	-	X	X	-	-	-	-	-
Copy of IGC Code	Reg. VII/11 IGC Code Reg. 18.1.3	Vessels carrying Liquefied Gases in Bulk, built on or after 1 July 1986	-	-	-	-	X	-	-	-	-	-
2 MARPOL 73 / 78 Annex I												
2.1 Certificates												
International Oil Pollution Prevention Certificate (IOPP Certificate)	Reg. 7.1	Oil Tankers ≥ 150 GT Other Vessels ≥ 400 GT	X	X	X	X	X	X	X	X	X	X
Statement of Compliance with CAS (as a supplement to ship's IOPP Certificate)	Reg. 20.6 /21.6	non-double hull oil tankers ≥ 5 000 tdw	-	-	X	-	-	-	-	-	-	-

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			Passenger Vessel	Ro-Ro Passenger Vessel	Oil Tanker	Chemical Tanker	Gas Carrier	Bulk Carrier	Container Vessel	General Cargo Vessel	Passenger High Speed Craft	Cargo High Speed Craft
2.2 Plans and Documents												
Dedicated Clean Ballast Tank Operation Manual *	Reg. 18.8	Existing Product Carriers ≥ 40 000 tdw operating with Dedicated Clean Ballast Water Tanks	-	-	X	-	-	-	-	-	-	-
Operations and Equipment Manual for Crude Oil Washing Systems (COW Manual)*	Reg. 33	Existing Crude Oil Tankers ≥ 40 000 tdw New Crude Oil Tankers ≥ 20 000 tdw	-	-	X	-	-	-	-	-	-	-
Record of Oil Discharge Monitoring and Control System for the last Ballast Voyage	Reg. 31.2, 31.3, 36.6	Oil Tankers ≥ 150 GT	-	-	X	-	-	-	-	-	-	-
Oil Discharge Monitoring and Control System Operational Manual *	Reg. 31.4	Oil Tankers ≥ 150 GT	-	-	X	-	-	-	-	-	-	-
Oil Record Book, Part 1 (Machinery Space Operations)	Reg. 17.1, 36.1	Oil Tankers ≥ 150 GT Other Vessels ≥ 400 GT	X	X	X	X	X	X	X	X	X	X
Oil Record Book, Part 2 (Cargo / Ballast Operations)	Reg. 17.2, 36.2	Oil Tankers ≥ 150 GT	-	-	X	-	-	-	-	-	-	-
Damage / Survival Capability Information *	Reg. 28	Oil Tankers, Chemical Tankers, Gas Carriers ≥ 150 GT	-	-	X	X	X	-	-	-	-	-
Shipboard Oil Pollution Emergency Plan *	Reg. 37	Oil Tankers ≥ 150 GT Other Vessels ≥ 400 GT	X	X	X	X	X	X	X	X	X	X
Ship to Ship (STS) Operations Plans * not later than the date of the first annual, intermediate or renewal survey of the ship to be carried out on or after 1 January 2011	Reg. 41	Oil Tankers ≥ 150 GT	-	-	X	-	-	-	-	-	-	-

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3 MARPOL 73 / 78 Annex II												
3.1 Certificates												
International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk	Reg. 7 IBC Code 1.5.4.1	Chemical Tankers built on or after 1 July 1986	-	-	-	X	-	-	-	-	-	-
Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk	Reg. 7 BCH Code Sec. 1.6.3.1)	Vessels carrying Dangerous Chemicals in Bulk, built before 1 July 1986	-	-	-	X	-	-	-	-	-	-
International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk (NLS Certificate)	Reg. 9 (Vessels carrying Noxious Liquid Substances in Bulk and not holding a Certificate of Fitness	-	-	X	-	X	-	X	X	-	-
Certificate of Fitness for Offshore Support Vessels	Reg. 11.2 Res.A.673(16) as amended	Offshore Support Vessels carrying limited Amounts of Noxious Liquid Substances in Bulk	-	-	-	-	-	-	-	X	-	-
3.2 Plans and Documents												
Procedure and Arrangement Manual *	Reg. 14 P&A Standard	Vessels carrying Noxious Liquid Substances in Bulk	-	-	X	X	X	-	X	X	-	-
Cargo Record Book	Reg. 15	Vessels carrying Noxious Liquid Substances in Bulk	-	-	X	X	X	-	X	X	-	-

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12 Space Requirements for Special Trade Passenger Ships (Pilgrim Trade)												
Special Trade Passenger Ships Space Certificate	Rule 5	Special Trade Passenger Ships ⁵	X	X	-	-	-	-	-	-	-	-
13 Bulk Chemical Code												
Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk	refer to MARPOL Annex II											
14 Gas Carrier Code (not mandatory)												
Certificate of Fitness for the Carriage of Liquefied Gases in Bulk	Section 1.6	Vessels carrying Liquefied Gases in Bulk, built before 1 July 1986	-	-	-	-	X	-	-	-	-	-
15 ILO Conventions												
15.1 Certificates												
Certificate of Compliance for ILO 92 ⁶	Accommodation of Crews (Revised)	Vessels ≥ 500 GT	X	X	X	X	X	X	X	X	X	X
Certificate of Compliance for ILO 133 ⁶	Accommodation of Crews (Supplementary Provisions)	Vessels ≥ 1 000 GT	X	X	X	X	X	X	X	X	X	X
Medical Certificate for ILO 73 ⁶	Fitness for Work Provisions	Vessels ≥ 200 GT	X	X	X	X	X	X	X	X	X	X
Load Test Certificate for ILO 152 ⁷	Occupational Safety and Health in Dock Work	All Merchant Vessels with Cargo Gear	-	-	-	-	-	X	X	X	-	-

* These Plans and Documents have to be approved by the Administration or an organisation authorized by them.

¹ A flag State may choose to have: A Cargo Ship Safety Certificate may be issued under the provisions of the HSSC Regulations to a Cargo Ship as an alternative to the Cargo Ship Safety Construction- , Cargo Ship Safety Radio - and Cargo Ship Safety Equipment Certificate.

² Not required for vessels with a keel laying date before 01.01.2009.

³ For details on application refer to Resolution MSC.202(81)

⁴ Regulation 11.1.1 of MARPOL 73/78 Annex IV has been updated to require that the moderate rate of discharge is to be calculated in accordance with MEPC.157(55). The results of these calculations shall be approved by the Administration and be placed onboard (For details on application refer to Reg. 11.1.1 of MARPOL 73/78 Annex IV). Resolution MEPC.157(55) provides a guideline on how to calculate the moderate rate of discharge.

⁵ "Special Trade" means the conveyance of large numbers of Special Trade Passengers (Pilgrims) by sea on international voyage within specified areas; a "Special Trade Passenger" means a passenger carried in Special Trades with spaces on the weather deck, upper deck and / or between decks which accommodate more than 8 passengers.

⁶ Survey and issuance only if required by flag State or wished by owners.

⁷ For provision, hose handling and machinery cranes national regulations may apply.

There are other requirements for special types of vessels. Columns "Restrictions" / "Ship Type": For a definition of the term "ship" please refer to the Convention/Code as applicable. Examples are given in the table

SOLAS	(2) defined Ship types	Therefore the applicability of SOLAS to e.g. MODUs is stated in this list as appropriate in the column „Restrictions“
MARPOL	(4) Ship means a vessel of any type whatsoever operating in the marine environment	includes hydrofoil boats, air-cushion vehicles, submersibles, floating craft and fixed or floating platforms.
MARPOL Annex VI		Reg. 3.1 Emissions from Sea-bed Mineral Activities 3.1 Emissions directly arising from the exploration, exploitation and associated offshore processing of sea-bed mineral resources are, consistent with article 2(3)(b)(ii) of the present Convention, exempt from the provisions of this Annex.
AFS Convention	(9) Ship means a vessel of any type whatsoever operating in the marine environment	includes hydrofoil boats, air-cushion vehicles, submersibles, floating craft, fixed or floating platforms, floating storage units and (FSUs) and floating production storage and offloading units (FPSOs).

Notes:

1. Only certificates and documents required by international conventions and mandatory codes are listed. In addition Port and Flag States might have more extended requirements. (Reference IMO FAL.2/Circ.123)

2. All plans, manuals and other documents required by the various IMO conventions to be carried on board ships constructed on or after 1 July 2005 should be marked with the IMO ship identification number in a clearly legible and unambiguous manner (MSC. Circular 1142).

Disclaimer

This list has been prepared using the best information currently available. The information provided is intended purely as guidance; their use is at the users own risk. No responsibility is accepted by Germanischer Lloyd for any consequences whatsoever resulting directly or indirectly from the use of this information. For further limitations and restrictions please refer to <http://www.gl-group.com/en/legal/5701.php> 'Terms of Use'.